

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:
Soo-Kyung Kim
Serial No:
Filed: Herewith
For: NEAR FIELD OPTICAL RECORDING DEVICE

Art Unit:
Examiner:



Box PATENT APPLICATION
U.S. Patent and Trademark Office
P.O. Box 2327
Arlington, VA 22202

Transmitted herewith for filing is the patent application identified above.

- ☒ 5 sheet(s) of drawings (☒ formal ☐ informal) is(are) enclosed.
- ☒ 16 page(s) of specification and 1 page(s) of abstract of the invention are enclosed.
- ☒ An assignment of the invention to LG Electronics Inc. ☒ is enclosed ☐ will follow.
- ☒ An associate power of attorney ☒ is enclosed ☐ will follow.
- ☐ Inventor(s) or Assignee(s) is entitled to small entity status under 37 C.F.R. §§ 1.9 & 1.27.
- ☒ Declaration and Power of Attorney ☒ is enclosed ☐ will follow.
- ☒ A certified copy of Korean Patent Application No. 2000-76436 filed December 14, 2000 from which priority is claimed under 35 U.S.C. § 119 ☒ is enclosed ☐ will follow.
- ☐ IDS enclosed (☐ with references).
- ☐ Preliminary Amendment is enclosed.

| CALCULATION OF FEES | | | | | | | | |
|---------------------|--|---------------------|-----|-------------------------|--|------|-----------|--------|
| ITEM | | TOTAL NO. OF CLAIMS | | NO. OF CLAIMS OVER BASE | LG/SM \$ ENTITY FEE | | \$ AMOUNT | \$ FEE |
| A | TOTAL CLAIMS FEE | 21 | -20 | 1 | LG=\$18 SM=\$9 | \$ 0 | \$ 18 | |
| B | INDEPENDENT CLAIMS FEE* | 2 | -3 | 0 | LG=\$84 SM=\$42 | \$ 0 | \$ 0 | |
| C | SUBTOTAL - ADDITIONAL CLAIMS FEE (ADD FINAL COLUMN IN LINES A + B) | | | | | | | \$ 18 |
| D | MULTIPLE-DEPENDENT CLAIMS FEE | | | | LARGE ENTITY FEE = \$280 SMALL ENTITY FEE = \$140 | | \$ | |
| E | BASIC FEE | | | | LARGE ENTITY FEE = \$740 SMALL ENTITY FEE = \$370 | | \$ 740 | |
| F | TOTAL FILING FEE (ADD TOTALS FOR LINES C, D, AND E) | | | | | | | \$ 758 |
| G | ASSIGNMENT RECORDING FEE | | | | | | | \$ 40 |
| | *LIST INDEPENDENT CLAIMS [LIST OF INDEPENDENT CLAIMS] | | | | | | | |

- ☒ A check in the amount of \$ 758 to cover the filing fee is enclosed.
- ☒ A check in the amount of \$ 40 to cover Assignment Recordation fee is enclosed.


☐ The Commissioner is hereby authorized to charge any deficiency for the following fees associated with this communication or credit any overpayment to Deposit Account No. _____. A copy of this sheet is enclosed.

☐ Any additional filing fees required under 37 C.F.R. § 1.16

☐ Any patent application processing fees under 37 C.F.R. § 1.17

Respectfully submitted,
LEE & HONG

Date: December 10, 2001

By: 
Jonathan Y. Kang, Reg. No. 38,199
Amit Sheth, Reg. No. P-50,176
Attorney for Applicant(s)

221 N. Figueroa Street, 11th Floor
Los Angeles, California 90012
Telephone: (213) 250-7780
Facsimile: (213) 250-8150

12/10/01
J1132 U.S. PTO

12-12-01

12/10/01

PATENT
Attorney Docket No: 2080-3-56

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:
Soo-Kyung Kim
Serial No:
Filed: Herewith
For: NEAR FIELD OPTICAL RECORDING DEVICE

Parent Art Unit:
Examiner: --

J1059 U.S. PTO
10/013081
12/10/01

CERTIFICATE OF MAILING VIA U.S. EXPRESS MAIL
"Express Mail" Mailing Label No. EF054878383US
Date of Deposit: December 10, 2001

Box PATENT APPLICATION
U.S. Patent and Trademark Office
P.O. Box 2327
Arlington, VA 22202

Déar Sir:

I hereby certify that

- ☒ one copy of a letter of transmittal
- ☒ check in amount of \$ 758 as filing fee
- ☒ patent application (16 page(s) of specification; 21 claim(s); 1 page(s) of abstract
- ☒ 5 sheet(s) of formal drawings
- ☒ An assignment of the invention to LG Electronics Inc. ☒ is enclosed ☐ will follow.
- ☒ executed Declaration and Power of Attorney
- ☒ certified copy of patent Application No. 2000-76436 filed December 14, 2000 from which priority is claimed in the subject case pursuant to 35 U.S.C. § 119 ☐ will follow
- ☒ Associate Power of Attorney
- ☐ IDS transmittal
- ☐ PTO-1449 form
- ☒ return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 C.F.R. § 1.10 on the date indicated above and are addressed to:

Box PATENT APPLICATION
P.O. Box 2327
Arlington, VA 22202

Date: December 10, 2001

Lee & Hong

221 N. Figueroa Street, 11th Floor
Los Angeles, California 90012-2601
Telephone: 213-250-7780
Facsimile: 213-250-8150

Amit Sheth
Name of person mailing papers

Amit Sheth

Signature